

01/12/01  
1c960 U.S.  
PTO

01-16-01

A

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on January 12, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, Mailing Label Number **EL610160745US**, addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

  
Diane Schwanbeck

Attorney Docket No. JCTWP020A

First Named Inventor: I.T. Chen



25920

PATENT TRADEMARK OFFICE

JC925 U.S. PTO  
09/759899  
01/12/01

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**

(Continuation, Divisional or Continuation-in-part application)

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

☐ Duplicate for  
fee processing

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
**I.T. Chen and Horng-Bor Lu**

For: **TREATMENT ON SILICON OXYNITRIDE**

This application is a ☐ Continuation ☒ Divisional ☐ Continuation-in-part  
of prior Application No. **09/307,219**, from which priority under 35 U.S.C. § 120 is claimed.

**Application Elements:**

☒ 08 Pages of Specification, Claims and Abstract

☒ 02 Sheets of **formal** Drawings

☒ Declaration

☐ Newly executed (original or copy)

☒ Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).

The entire disclosure of the prior application from which a copy of the declaration is herein supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☐ Deletion of inventors Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

**Accompanying Application Parts:**

☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)

☐ Power of Attorney

☐ 37 CFR 3.73(b) Statement by Assignee

- ☐ Information Disclosure Statement with Form PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment (*New claims numbered after highest original claim in prior application.*)
- ☒ Return Receipt Postcard
- ☐ Small Entity Statement(s) ☐ Statement filed in prior application. Status still proper and desired.
- ☐ Other:

#### Claim For Foreign Priority

- ☐ Priority of \_\_\_\_\_ Application No. \_\_\_\_\_ filed on \_\_\_\_\_ is claimed under 35 U.S.C. § 119.
- ☐ The certified copy has been filed in prior application U.S. Application No. \_\_\_\_\_
- ☐ The certified copy will follow.

#### Extension of Time for Prior Pending Application

- ☐ A Petition for Extension of Time is being concurrently filed in the prior pending application. A copy of the Petition for Extension of Time is attached.

#### Assignment

- ☒ The prior application is assigned of record to United Microelectronics Corp.

#### Amendments

- ☒ Amend the specification by inserting before the first line the sentence: "This is a
- ☐ Continuation ☐ Continuation-in-part ☒ Divisional application of copending prior
- ☒ Application No. 09/307,219 filed on May 7, 1999,
- ☐ International Application \_\_\_\_\_ filed on \_\_\_\_\_ which designated the United States, the disclosure of which is incorporated herein by reference."

- ☒ Cancel in this application original claims 1-4 and 7-10 of the prior application before calculating the filing fee. (*At least one original independent claim must be retained.*)

#### Fee Calculation (37 CFR § 1.16)

	(Col. 1) NO. FILED	(Col. 2) NO. EXTRA	SMALL ENTITY RATE	FEE	OR	LARGE ENTITY RATE	FEE
BASIC FEE			\$355	\$	OR	\$710	\$710.00
TOTAL CLAIMS	<u>02</u>	-20 = <u>0</u>	x09 =	\$	OR	x18 =	\$
INDEP CLAIMS	<u>01</u>	-03 = <u>0</u>	x40 =	\$	OR	x80 =	\$
[ ] Multiple Dependent Claim Presented			\$135 =	\$	OR	\$270 =	\$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	\$	OR	Total	\$710.00

☒ Check No. **4734** in the amount of \$710.00 is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0805 (Order No. JCTWP020A).

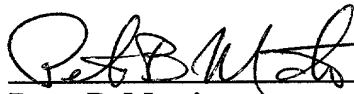
General Authorization for Petition for Extension of Time (37 CFR § 1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR § 1.17 as may be needed to Deposit Account No. 50-0805.

☒ Please send correspondence to the following address (*please do not send correspondence to the address cited in the copy of the declaration being filed herewith*):

**Peter B. Martine**  
Martine Penilla & Kim, LLP  
710 Lakeway Drive, Suite 170  
Sunnyvale, California 94085  
Telephone: (408) 749-6900  
Facsimile: (408) 749-6901  
**Customer No. 25920**

Date: January 12, 2001



**Peter B. Martine**  
Registration No. **32,043**